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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

**BOX RCE**  
Commissioner of Patents  
Washington, D.C. 20231

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or  
plant application filed on or after June 8, 1995

|                      |                                 |
|----------------------|---------------------------------|
| Application Number   | 09/769,376                      |
| Confirmation Number  | 5759                            |
| Filing Date          | January 26, 2001                |
| First Named Inventor | Nobuyoshi YAGI                  |
| Group Art Unit       | 1774                            |
| Examiner Name        | Kimberly T. Nguyen              |
| Matter Number        | Q62053                          |
| Title                | RESIN SUBSTRATE FOR OPTICAL USE |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**1. SUBMISSION REQUIRED UNDER 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Please enter and consider the amendment(s)/reply under 37 C.F.R. § 1.116  
Previously filed  
on \_\_\_\_\_
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☒ Affidavit(s)/Declaration(s) (Two)
- iii. ☐ Information Disclosure Statements (IDS)
- iv. ☒ Petition for Extension of Time
- v. ☐ Other \_\_\_\_\_

**2. MISCELLANEOUS**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a  
Period of \_\_\_\_\_ Months
- b. ☐ Other \_\_\_\_\_

**3. FEES**

A check for the RCE statutory fee of \$750.00 is attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this transmittal letter is attached.

**SIGNATURE OF ATTORNEY**

Name John K. Shin Registration No. 48,409

Signature John K. Shin Date February 24, 2003



PATENT APPLICATION

2/27/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q62053

Nobuyoshi YAGI, et al.

Appln. No.: 09/769,376

Group Art Unit: 1774

Confirmation No.: 5759

Examiner: Kimberly T. Nguyen

Filed: January 26, 2001

For: RESIN SUBSTRATE FOR OPTICAL USE

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**Reply Filed with Request for Continued Examination (RCE)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Reply is submitted concurrently with a Request for Continued Examination (RCE) in response to the Advisory Action dated January 17, 2003. A Petition for a two month extension of time is also being concurrently filed, making a response due on or before February 24, 2003.

Applicants previously filed copies of two executed Declarations under 37 C.F.R. § 1.132 on July 30, 2002, as discussed in Applicants' Request for Reconsideration under 37 C.F.R. § 1.116 and Request for a New Office Action, filed on December 24, 2002. Duplicate copies of the two executed Declarations are enclosed herewith.

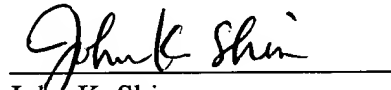
Applicants respectfully request that the Examiner consider the Evidence of Unexpected Superiority and arguments presented in the Request for Reconsideration under 37 C.F.R. § 1.132 and Request for a New Office Action, filed on December 24, 2002, and the Amendment under 37 C.F.R. § 1.111, filed on July 11, 2002, both incorporated by reference herein.

Reply Filed with Request  
for Continued Examination  
U.S. Appln. No. 09/769,376

In view of the above, reconsideration and allowance of this application are now believed to be in order, and such actions are hereby solicited. If any points remain in issue which the Examiner feels may be best resolved through a personal or telephone interview, the Examiner is kindly requested to contact the undersigned at the telephone number listed below.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

  
John K. Shin  
Registration No. 48,409

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE



23373

PATENT TRADEMARK OFFICE

Date: February 24, 2003